

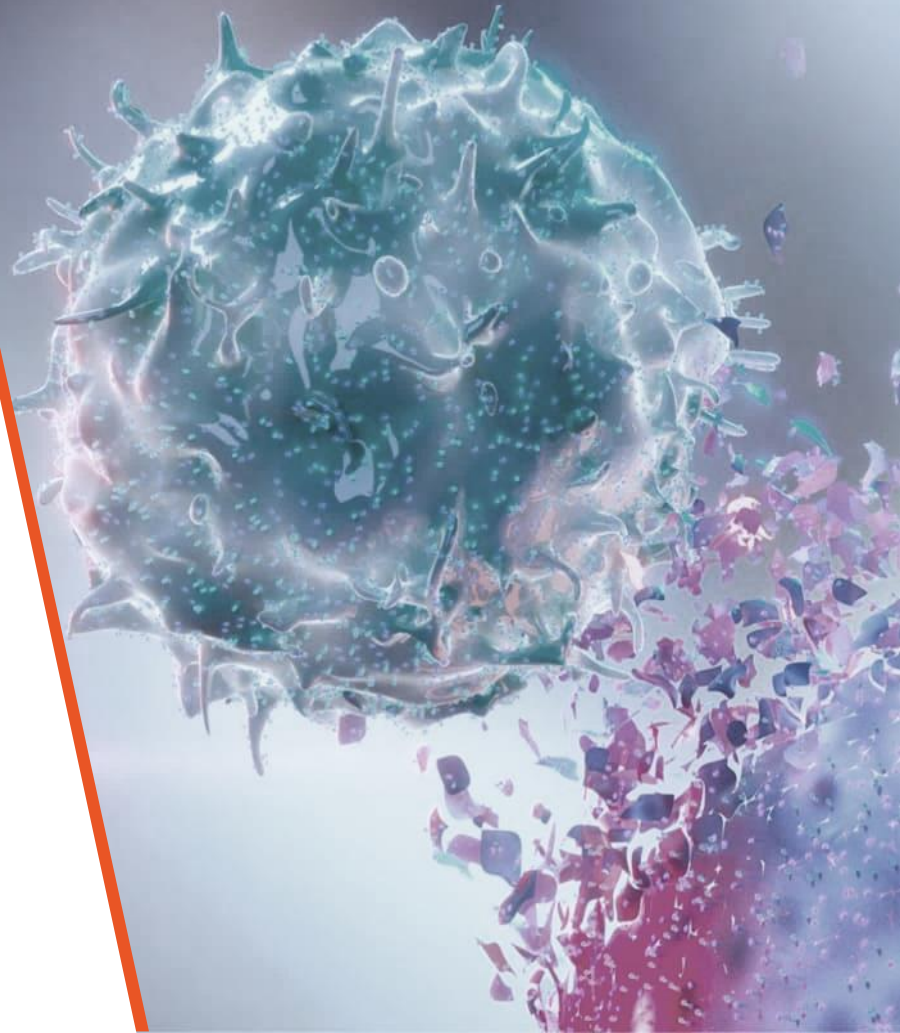


INGENIUM
THERAPEUTICS

Memory Natural Killer Cells

Unlocking the potential of our innate immunity

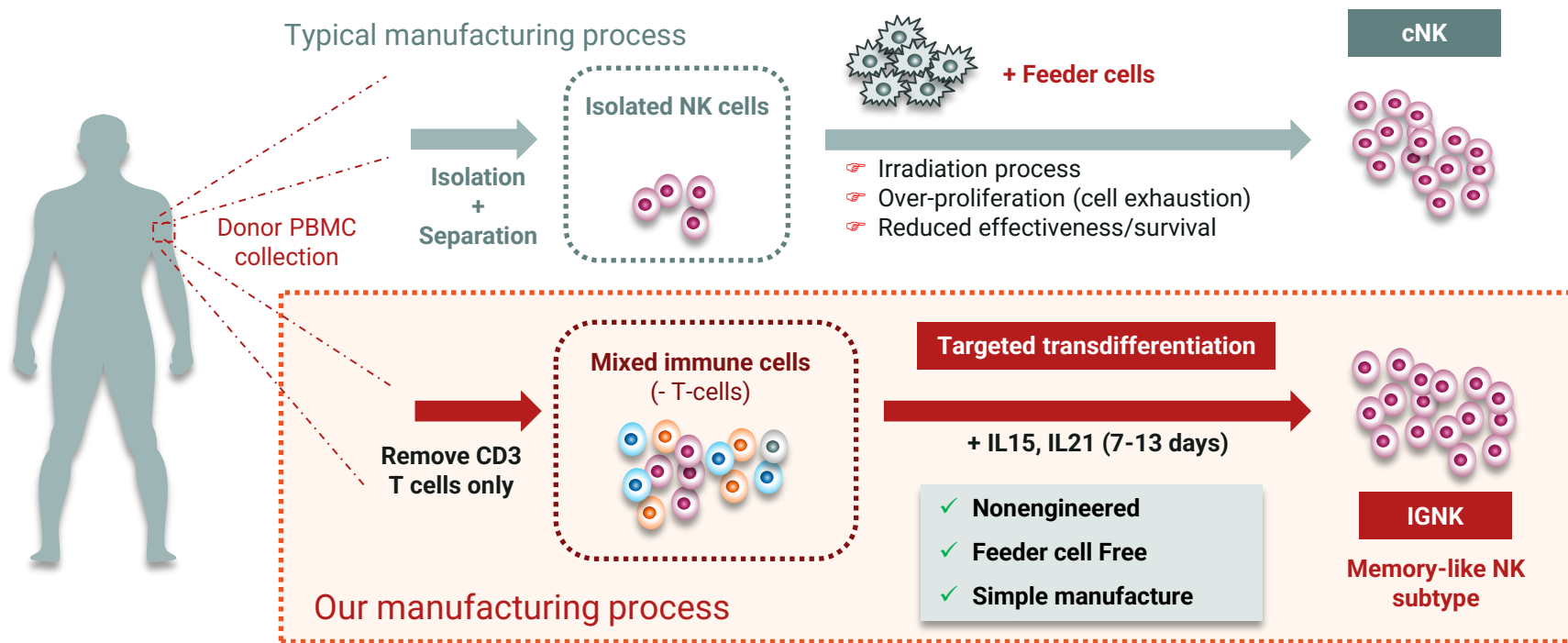
OCT 2022



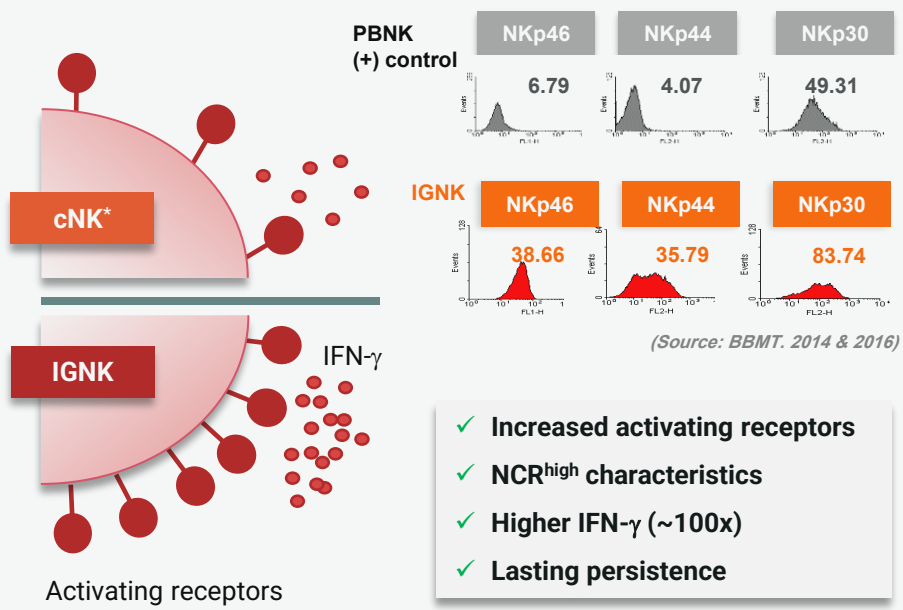
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Patented cGMP manufacturing process



Memory-like NK cells in a fully cGMP process



* Conventional NK from PBMC

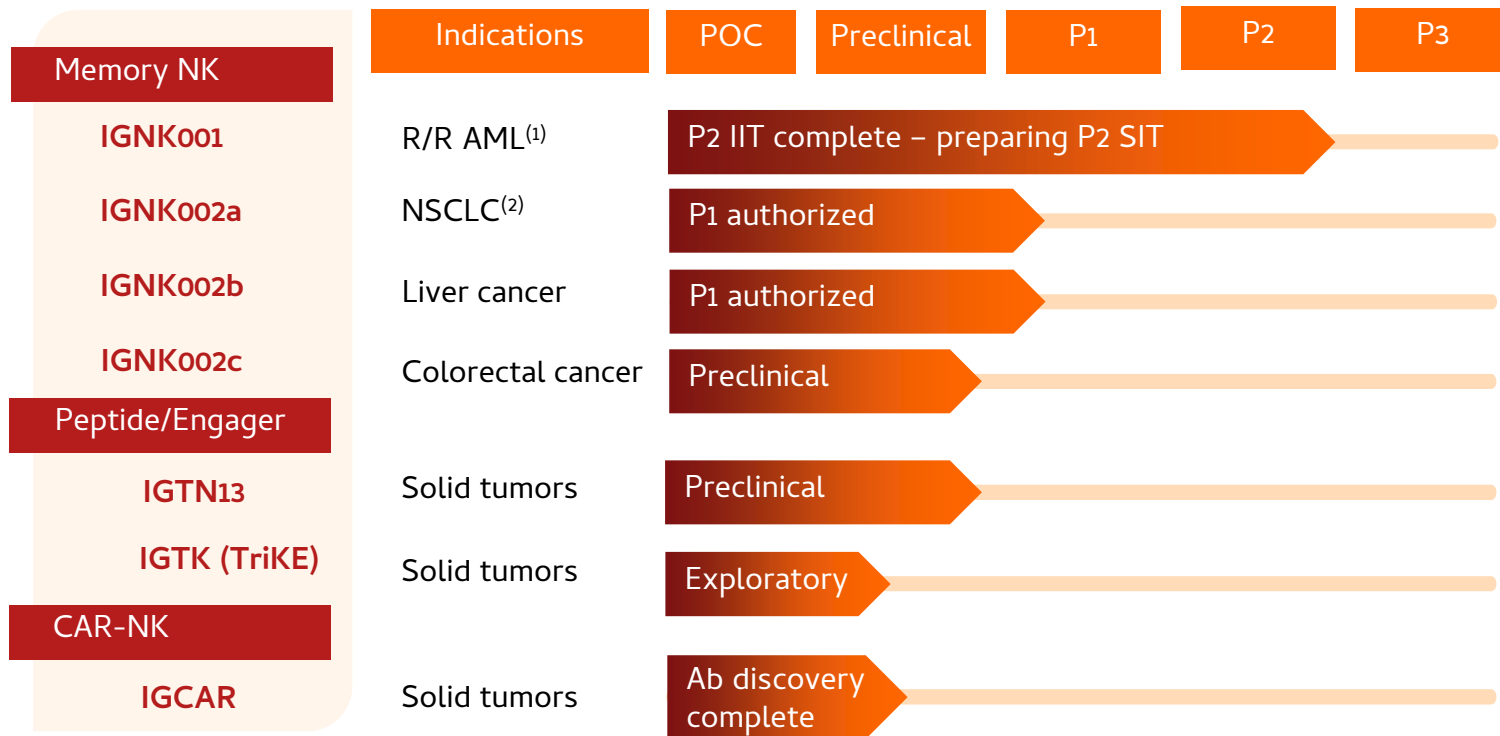
- 01 Use of mixed immune cells result in much higher cell numbers vs. known methods
- 02 Unprecedented numbers ($\sim 5 \times 10^{10}$ cells) equivalent to 100+ doses⁽¹⁾ from single donor
- 03 Fully cGMP process with consistent cell production quality (150+ lots to date)

More receptors \rightarrow Better tumor recognition/killing

Higher IFN- γ \rightarrow Better adaptive/regulatory

(1) Based on expected dose for solid tumor indications

Pipeline



(1) R/R AML = relapsed/refractory acute myeloid leukemia

(2) NSCLC = non-small cell lung cancer

IIT Phase 1/2 clinical study treatment scheme

Diverse genetic factors, high relapse rate and poor patient prognosis characterize R/R AML

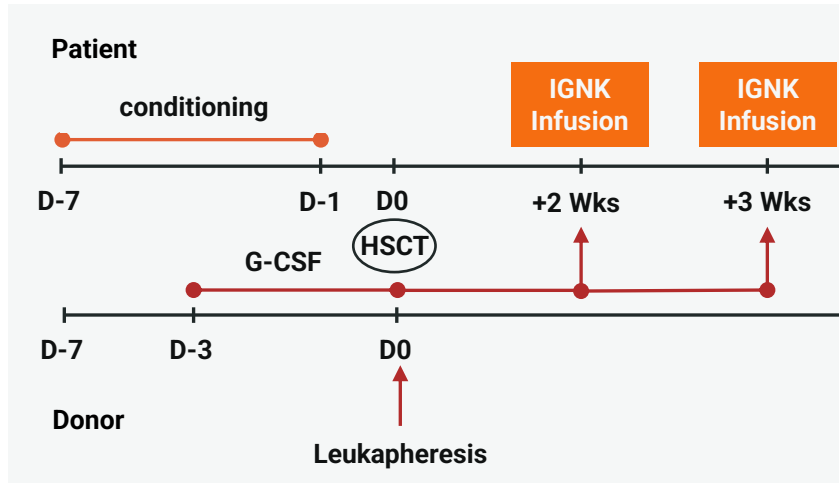
01 Total of 4 investigator trials completed (100+ patients infused) with demonstrated safety and efficacy

02 Dose escalation study (P1) and different treatment scheme (4x infusions vs. 2x infusions) attempted over 2 trials

➔ *No added benefits from 4x infusions*

03 Current treatment scheme (2x infusions) developed and applied to 2 trials including a randomization study

* Reduced-intensity with busulfan, fludarabine, and antithymocyte globulin



Note:

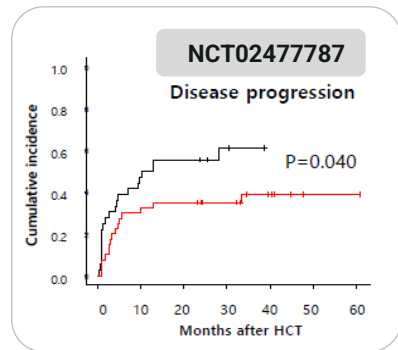
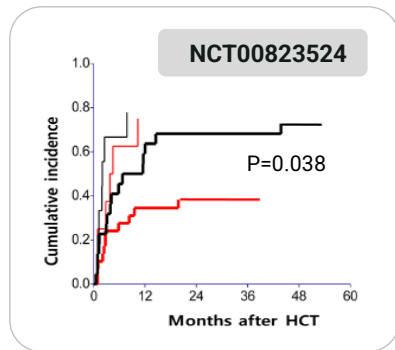
G-CSF = granulocyte colony stimulating factor; MNC = mononuclear cells; DNKI = donor NK cell infusion (IGNK); HSCT = hematopoietic stem cell transplant; HLA = human leukocyte antigen

Pooled patient data from phase II trials

	DNKI (n=69)	Control (n=58)	P-value
CR after HCT	82.4% (56/68)	51.2% (21/41)	.001
PFS, median, 2/5-yr	5.7 (33.3/24.1%)	3.7 (15.5/11.0%)	.028
CIR, median, 2/5-yr	37.7/46.9%	58.6/63.1%	.040

* Combined data from NCT00823524 and NCT02477787 IIT

- ✓ Over 100 patients and 5+ years follow-up
- ✓ Proven safety with minimal side effects
- ✓ Statistically significant treatment effects
- ✓ Patient survival increased by x3-fold

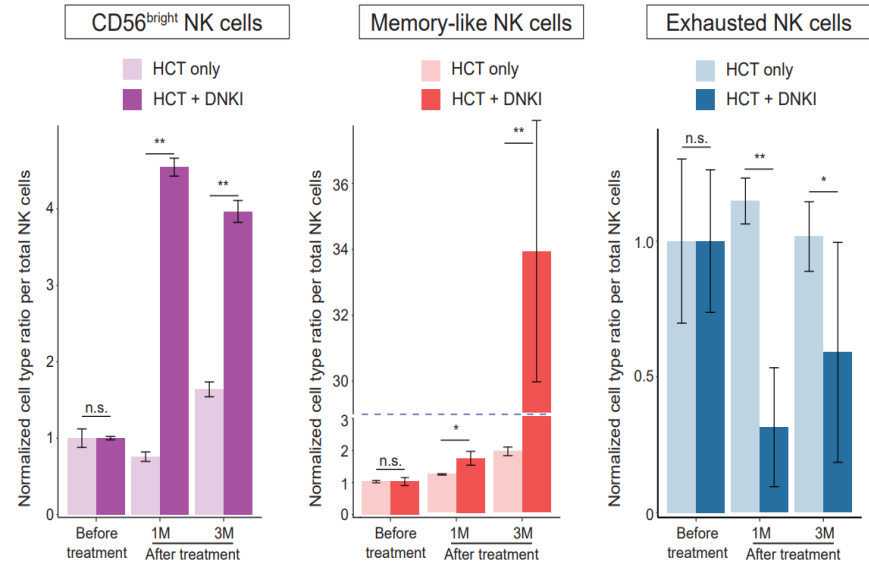


- (1) NCT00823524: IGNK+HSCT (n=29) vs HSCT only (n=22) historical cohort (*BBMT 20 (2014) 696-702*)
- (2) NCT02477787: IGNK+HSCT (n=40) vs HSCT only (n=36) randomization study (*manuscript under review*)
- (3) CR=complete remission, PFS=progression-free survival, CIR=cumulative incidence of relapse, NRM=non-relapse mortality, GVHD=graft-vs-host disease

Memory-like NK cells with lasting persistence

- 01 Dramatic increase in CD56^{bright} NK cells with immunoregulatory functions
- 02 Up to 30-fold increase in memory-like NK cells
- 03 Improvement in NK cell condition as a result of reduced exhausted NK cells

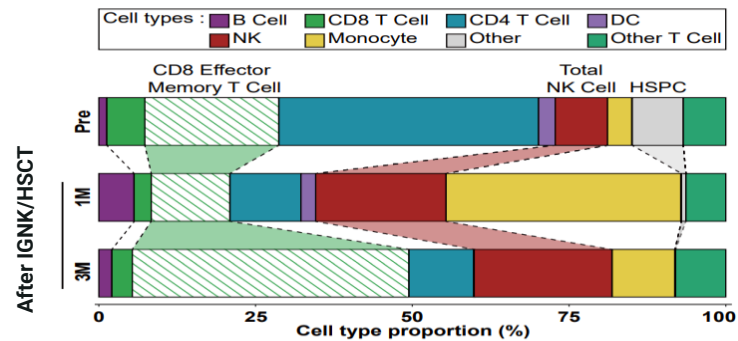
Single treatment of IGNK001 results in NK cell recovery with persistence lasting months



* Manuscript under review

Lymphocyte population shift post treatment

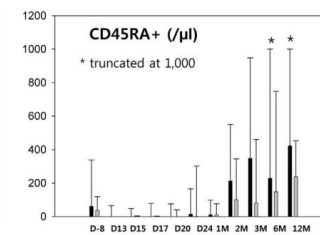
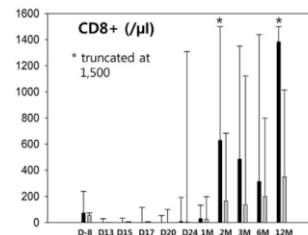
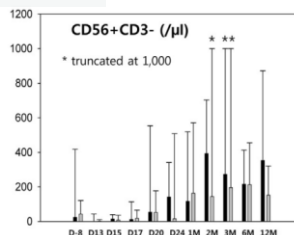
- 01 Higher number of NK cells (~1.8 times) compared to pre-treatment
- 02 Significantly, CD8 effector memory T cells increased vs. pre-treatment
- 03 Immune reconstitution observed in patients' peripheral blood



* Manuscript under review

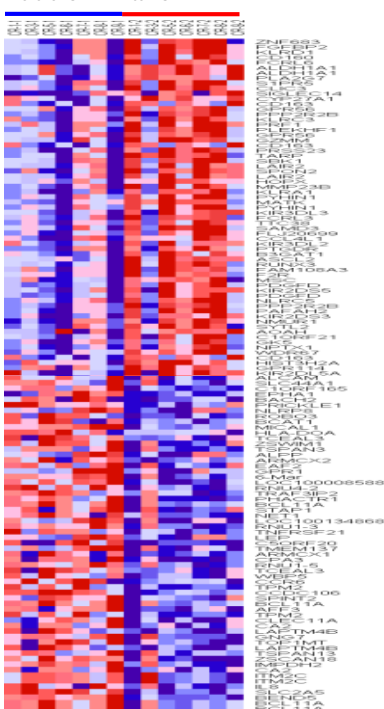
Lasting effects observed for at least 12 months post-treatment

I. Choi et al. *Biol Blood Marrow Transplant* 20 (2014) 696-704

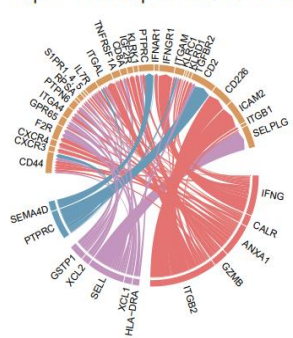


Donor-NK cell mediated T cell activation

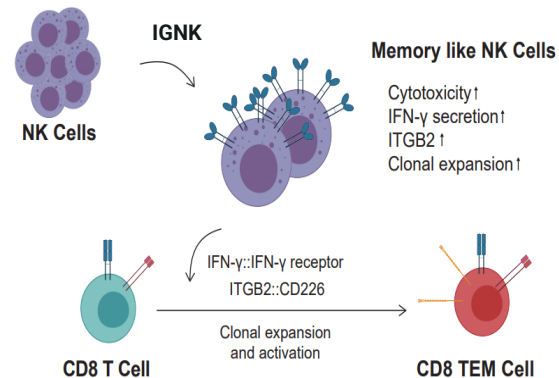
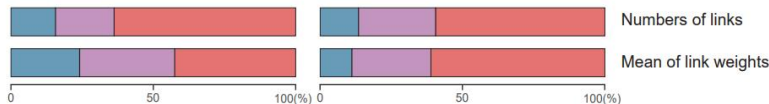
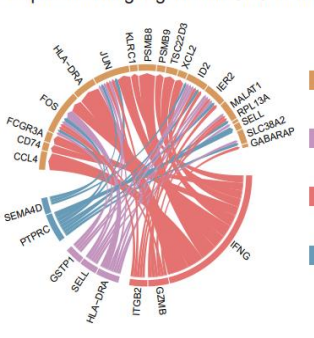
Before IGNK After IGNK



Expressed receptors in CD8 TEM



Expressed target genes in CD8 TEM



* Manuscript under review

Gene expression profiling results before and after IGNK treatment and scRNA-seq analysis at single cell level suggests immunoregulatory mechanism of IGNK001 that includes activation of CD8 T cells by IGNK- γ to effector memory CD8 cells.

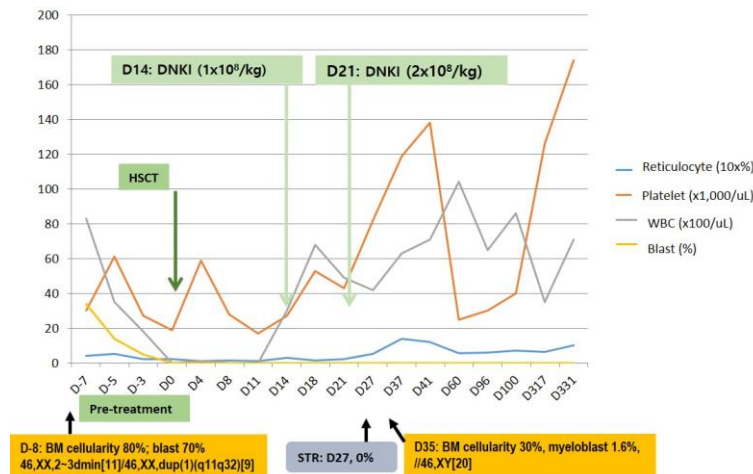
Case Study #1

Relapsed AML patient (66 years old, female)

- **Apr 2009:** Palpitation, WBC 4,800 with blast 33%
- **4 May 2009:** Bone marrow (BM) **showed AML M2, 46, XX**
- **7 May 2009:** **Achieved CR** after induction chemo (AD), followed by 3x consolidation
- **15 Sep 2010:** Blasts in PB, BM showed **AML recurrence** (blast 40%)
- **8 Oct 2010:** **Salvage chemo** (AD); persistent leukemia (BM blast 70%)
- **14 Dec 2010:** Received haplo-identical HSCT from donor (son) with **2x NK cell infusions**
- Currently **living healthily and disease-free** (last follow-up: **Sep 2022, 12 years post-treatment**)

- (1) Schmid et al, Blood. 2006;108(3):1092-9.)
- (2) Hiddemann et al, Leukemia. 1990;4(3):184-8
- (3) Shallis et al, Blood Rev, Vol. 36, 2019

Data from investigator trial courtesy of Seoul Asan Medical Center, Korea



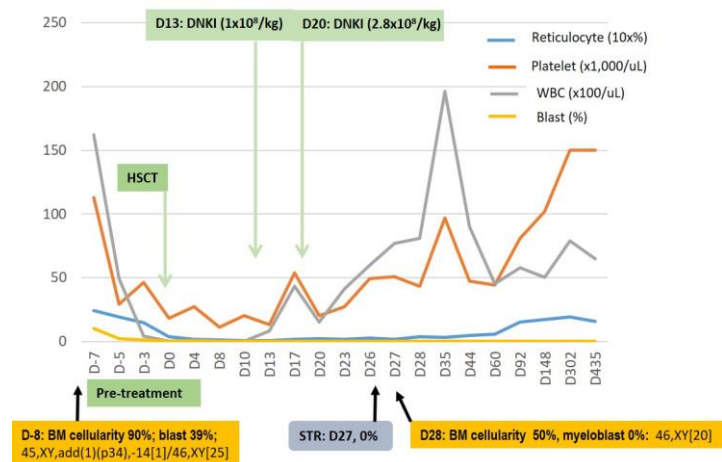
- ✓ 50-70% of AML patients relapse after CR^{(1),(2)}
- ✓ 1-year survival for patients aged >65 less than 20%⁽³⁾
- ✓ Patient relapsed after chemo but was cured with a single treatment of IG NK001 alone following HSCT

Case Study #2

Refractory AML patient (66 years old, male)

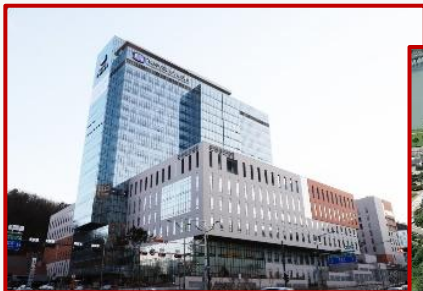
- **Dec 2010:** Palpitation
- **9 Feb 2011:** Pancytopenia, BM **showed AML** with MDS related change
- **20 Feb 2011:** **Failed to achieve CR** after induction chemo (AI “7+3”)
- **5 Apr 2011:** **Failed to achieve CR** after re-induction chemo (AI “5+2”)
- **6 Jul 2011:** Received haplo-identical HSCT from donor (son) with **2x NK cell infusions**
- Currently **living healthily and disease-free** (last follow-up: **Sep 2022, 11 years post-treatment**)

Data from investigator trial courtesy of Seoul Asan Medical Center, Korea



- ✓ Patient failed to achieve CR after 2 rounds of chemo
- ✓ Now living disease free after only a single treatment of IGNK001 alone following HSCT
- ✓ Demonstrates lasting effect of IGNK001 treatment

Next step - IGNK001 P2 sponsor trials (IND submitted & processing)



Three major oncology institutions in Korea recruited for P2 study sites (clockwise)

- Seoul St. Mary's Hospital
- Seoul Asan Medical Center
- Samsung Medical Center

● P2 sponsor trials for R/R AML

Single-arm, open-label, multicenter, pivotal study recruiting up to 45 patients

● Follow-up

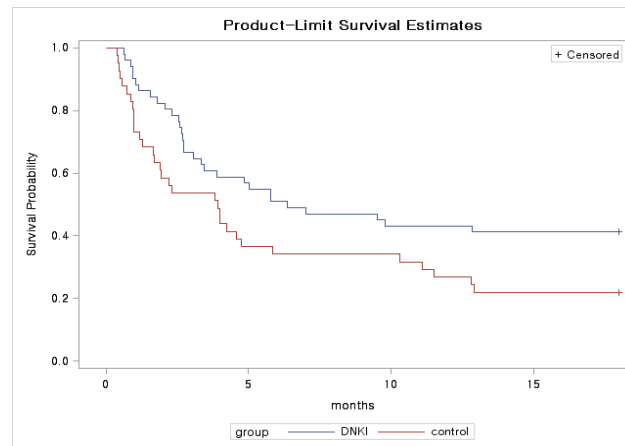
Trial to proceed with 18-months follow-up and expanded access program (EAP) available

● Marketing authorization

Full commercialization upon completion of study by end-2024

Study designed to maximize trial success

- In depth statistical analysis were performed on pooled results IIT
- P-values and survival curves calculated for different follow-up (F/U) periods, PFS (%), median PFS (months) and patient subgroups
- Objective response rate (ORR) is the typical primary end-point for current cell therapy trials
- **IGNK001 achieved CR (ORR) of >82% vs. HSCT alone (p=0.001)**
- Statistical significance in **median PFS (months)** with **p=0.034** after excluding rel AND ref patients



18-months F/U Kaplan-Meier plot after excluding rel AND ref patients

- ✓ **90% of patients both relapsed and refractory did not survive**
➔ *excluded in sponsor P2 trials but with access to EAP*
- ✓ **Median PFS (months) as primary endpoint to stay ahead of the curve**
➔ *More stringent than ORR with good probability of trial success*
- ✓ **Minimum sample size for statistical significance is 20+ patients**
➔ *Up to 45 patients recruited for P2 sponsor trials*

High probability of trial success

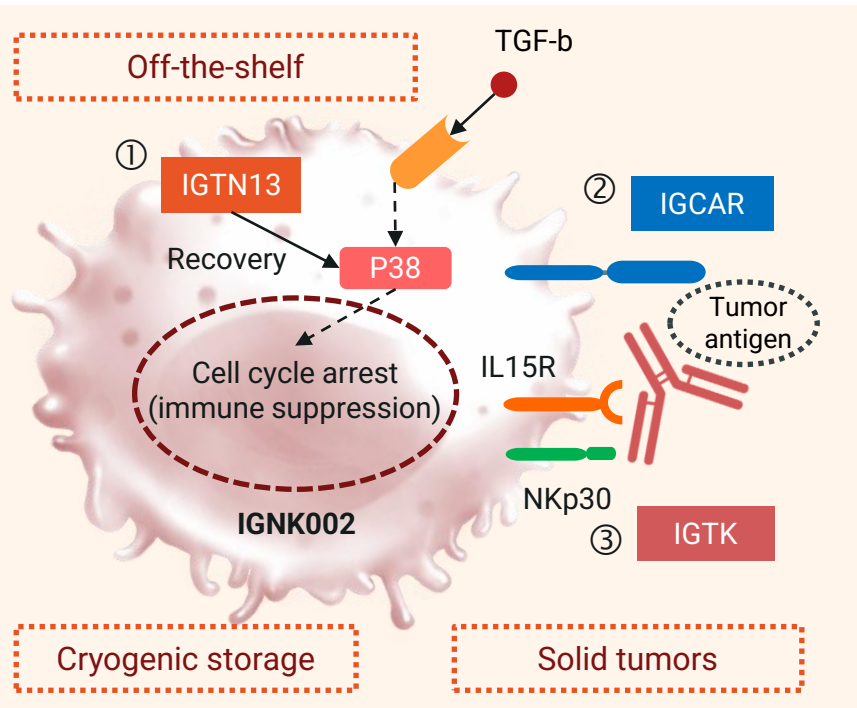
CAR-T vs IGNK001

	YESCARTA	KYMRIAH	IGNK001*
First approved indication	R/R DLBCL	ALL	R/R AML (IIT)
Primary Endpoint	ORR ⁽¹⁾	ORR ⁽¹⁾	TBD ⁽²⁾
ORR	83%	83%	82% (CR) ^{(3),(4)}
CR	54%	64%	82% ⁽⁴⁾
Median F/U period	7.9 months	4.8 months	68.9 months ⁽⁴⁾
Side effects⁽⁵⁾	CRS 94% neurotoxicity 87% TRM 4%	CRS 79% neurotoxicity 65% TRM 3%	No effect on CRS, neurotoxicity, GVHD
Manufacture duration	Median 17 days (Range: 14-51 days) ⁽⁶⁾	22 days (Target turnaround time) ⁽⁶⁾	7-13 days
Price per treatment	\$373,000 ⁽⁶⁾	\$475,000 ⁽⁶⁾	~\$150,000 (est.)

- Note:**
- (1) FDA approval on the basis of ORR improvement compared to standard treatment
 - (2) To be decided following consultation with the Ministry of Food & Drug Safety (Korea)
 - (3) ORR not measured during investigator trials but will be included in P2 sponsor trials
 - (4) Pooled results from 2 investigator trials
 - (5) Percentage of total patients treated with symptoms
 - (6) According to manufacturer
- CRS=cytokine release syndrome, TRM=treatment related mortality

IGNK001 already fulfils FDA approval criteria

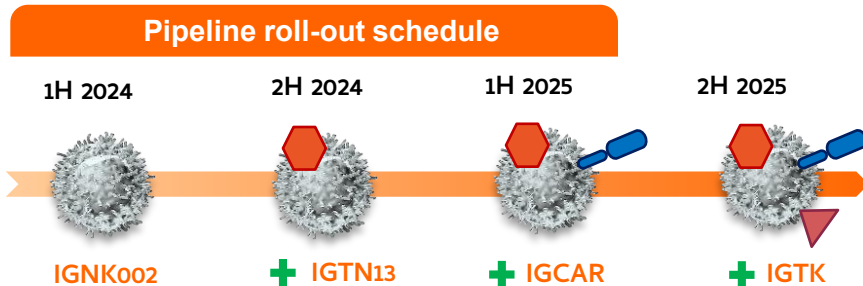
Optimization of IGNK platform



01 TXNIP-derived patented TN13 peptide inhibits p38 kinase and restores TGF-β-mediated NK cell suppression in TME

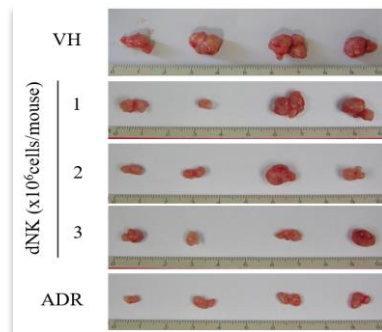
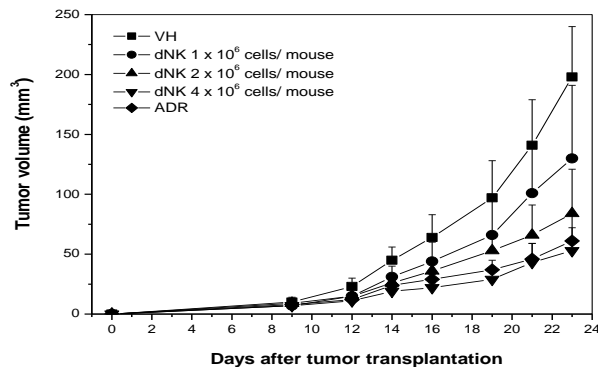
02 Ingenium CAR-NK delivered via mRNA for enhanced tumor cell targeting

03 Ingenium IL15/NKp30 TriKE NK engager for increased NK cell-mediated killing



Promising preclinical anti-tumor effects

- 01 Increasing IGNK001 dosage resulted in greater tumor suppression against various cancers including lung, liver and colon (Right image depicts liver cancer mouse model)
- 02 Higher IGNK001 dosage approached similar tumor suppression effects to positive control (doxorubin/ADR)
- 03 Infusing patients with sufficient numbers of NK cells is critical to ensuring treatment success

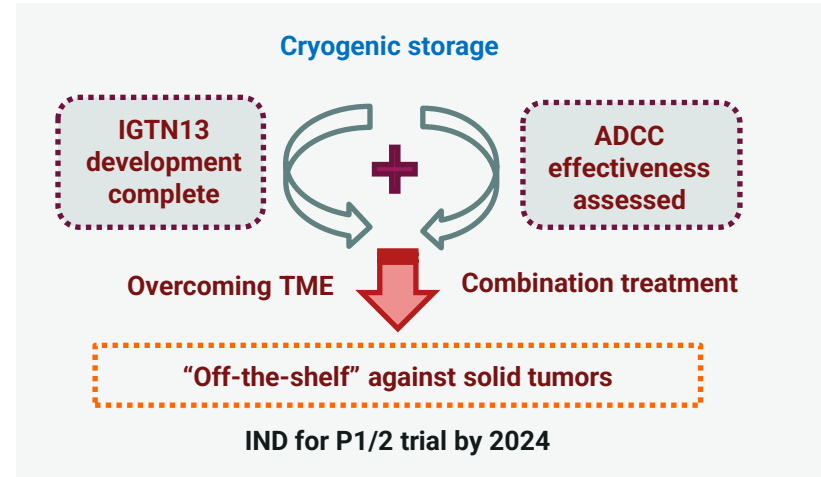


Comparison of tumor volume in nude mice (=4) implanted with SNU-354 human hepatoma cells followed by IGNK treatment at different doses between 1-4x10⁶ cells/mouse.

IGNK001 preclinical studies

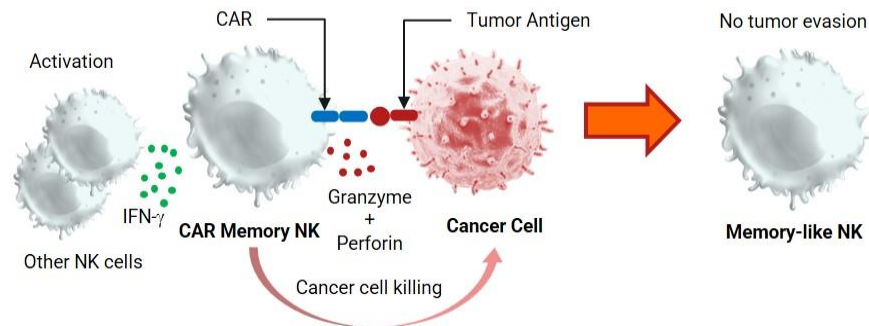
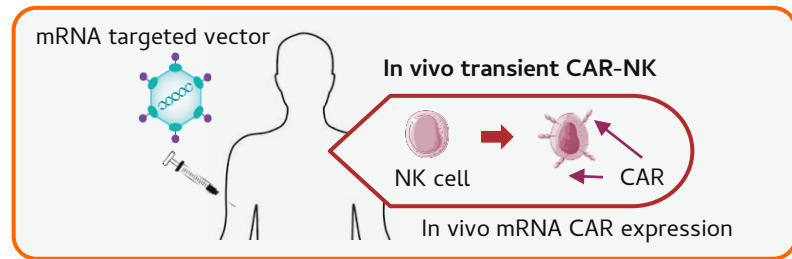
Off-the-shelf memory-like NK against NSCLC

- 01 Cryogenic formulation:** No change in cytotoxicity or viability after 16 weeks of cryogenic storage (as of July 2022, test to continue for up to 2 yrs)
- 02 Overcoming TME:** “**IGNK Shield**” (IGNK002 + IGTN13 peptide) at **preclinical stage**
- 03 ADCC:** Combination possibility with current targeted therapies (pembrolizumab combination study in progress)

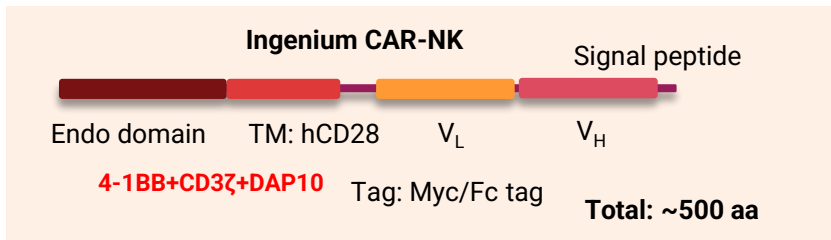


Next generation cancer therapy

- 01 Transient CAR NK from IGNK002 through targeted CAR mRNA expression
- 02 Using the latest scientific breakthroughs from COVID-19 vaccine
- 03 End goal of to use mAb-conjugated mRNA LNPs to target patient's NK cells *in vivo* to become transient CAR-NK cells



- A. Transient CAR for enhanced tumor targeting
- B. IFN-g induced immune activation
- C. Memory-like NK cells with innate non-specific tumor recognition for immunosurveillance and to avoid antigen-specific tumor escape



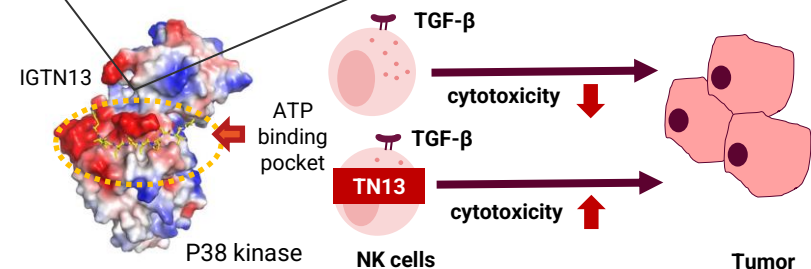
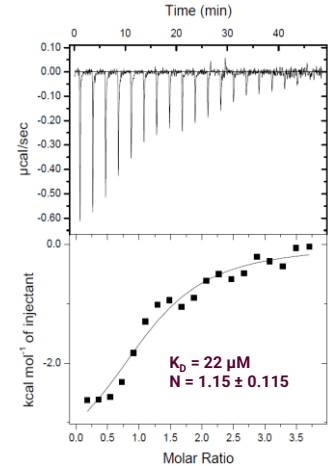
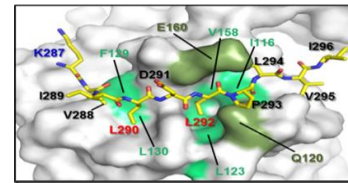
TME infiltrator: patented IGTN13 peptide

- 01 TXNIP k/o shows defective NK differentiation [Lee & Choi. Immunity 2005]
 - 02 TXNIP as a functional switch for p53 activity [Jung & Choi. Cell Metabolism 2013]
 - 03 TXNIP-derived IGTN13 peptide binds and inhibits p38 kinase [Jung & Choi, Nature Communications 2016]
- ➔ IGTN13 restores both TGF- β -mediated NK cell suppression and fratricide and simultaneously lowers TGF- β secretion in cancer cells [* Preclinical studies]

Preclinical efficacy study with IGKN002 against lung cancer underway (completion by Nov 2022)

Peptide Clones	TXNIP amino acid sequence
PLV-GFP-5xlinker-TN15	284GSKKVI ^{Basic} LDLPLVIGS ^{ϕ_2} 298
PLV-GFP-5xlinker-TN14	284GSKKVI ^{ϕ_1} LDLPLVIG ^{ϕ_2} 297
PLV-GFP-5xlinker-TN13	284GSKKVI ^{ϕ_1} LDLPLVI ^{ϕ_2} 296
PLV-GFP-5xlinker-TN12	284GSKKVI ^{ϕ_1} LDLPLV ^{ϕ_2} 295

Docking protein	Docking sequence
MKK6	Basic residue ϕ_1 -X- ϕ_2 SKGKKRNPGLKIPKEA
MKK3b	GKSKRKKD----LRISCNS
MEF2A	RKPDLR-----VVIPSS
TXNIP(TN13)	284GSKKVI----LDLPLVI ^{ϕ_2} 296



IGTN13 preclinical

Figure 1: Restoration of NK cell cytotoxicity by IGTN13 against lung cancer cell line (right) after being suppressed by TGF- β (middle)

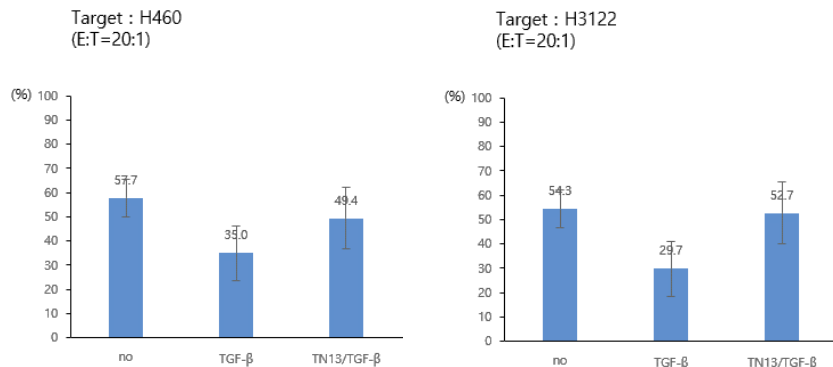


Figure 2: IGTN13 action reduces TGF- β expression in H460 tumor cells dose-dependently (left) and can also reduce TGF- β -mediated NK cell fratricide (right)

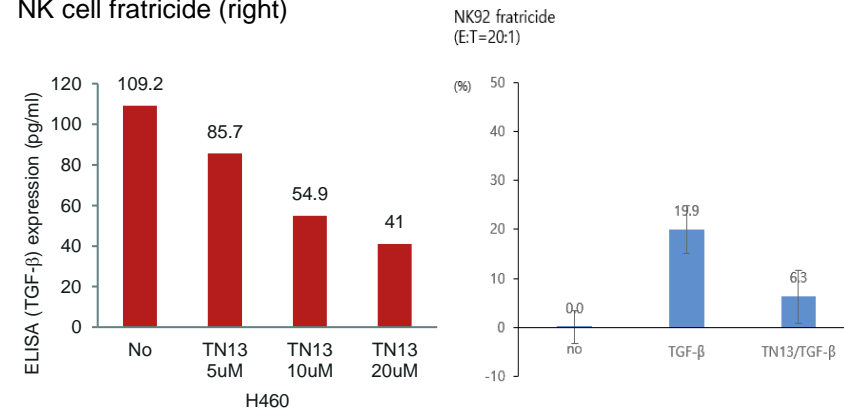
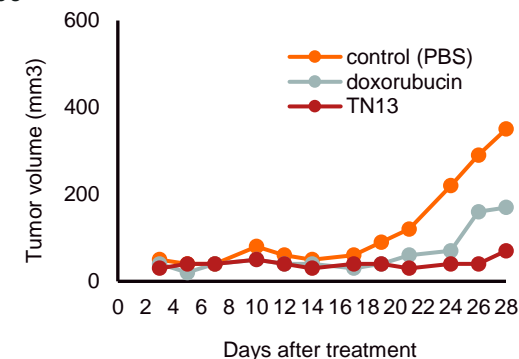


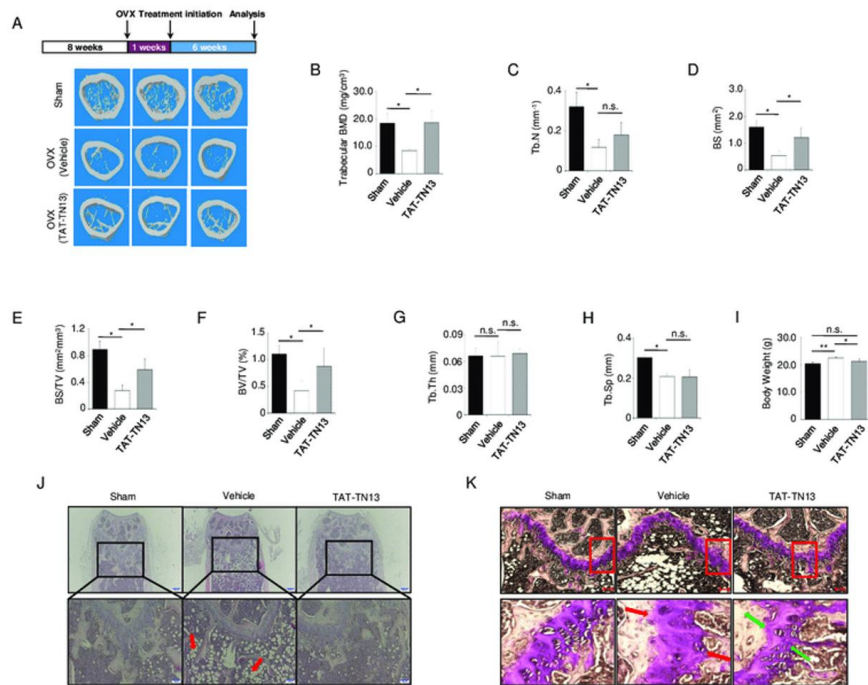
Figure 3: Tumor volume reduction by IGTN13 in BALB/C SPF nude mice transfected with H460



- 01 Promising *in vitro* preclinical results against lung cancer
- 02 Restores NK cell activity within high TGF- β setting
- 03 Significant anti-tumor effects with no observed safety issues

IGTN13: Potential applications

TAT-IGTN13 effectively prevents OVX-induced bone loss in vivo and inhibits RANKL-induced osteoclast formation



Article

Inhibition of Osteoclastogenesis by Thioredoxin-Interacting Protein-Derived Peptide (TN13)

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Thioredoxin-interacting protein-derived peptide (TN13) inhibits LPS-induced inflammation by inhibiting p38 MAPK signaling

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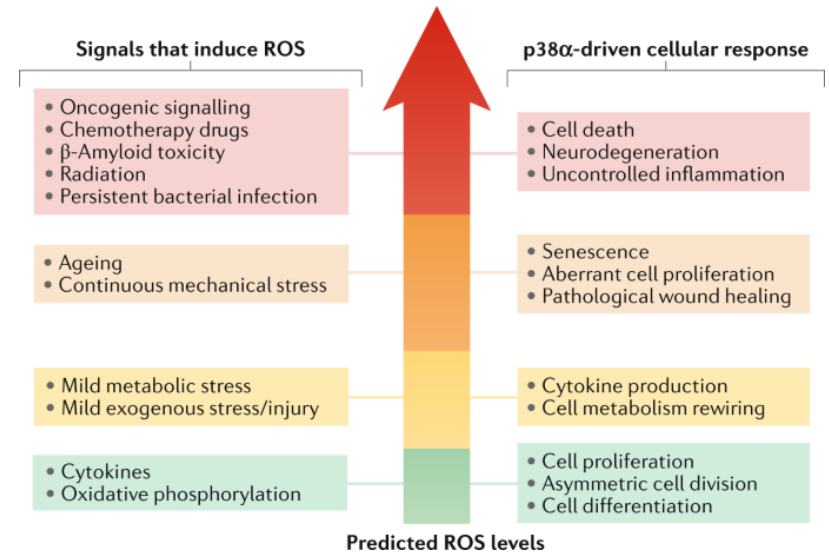


Alzheimer's Disease treatment candidate

- 01 P38 MAPK inhibition is a crucial target for chronic inflammatory diseases including Alzheimer's Disease (AD)
[Lee & Kim. Molecules 2017 Aug 2;22(8):1287]
- 02 A selective p38 MAPK inhibitor alleviates neuropathology and cognitive impairment in AD mouse model 5XFAD
[Lee et al. Alzheimer's Research & Therapy 12: 45 (2020)]
- 03 Numerous studies underway using selective p38 MAPK inhibitors for the treatment of AD

IGTN13 specifically inhibits p38a MAPK and is a potential candidate for AD treatment

Interplay between ROS and p38 α signalling.



Nature Review Molecular Cell Biology 22, 346-366 (2021)

First-in-class peptide P38 MAPK inhibitor

- P38 MAPK inhibitors failed at clinical trials despite promising preclinical results due to selectivity and/or toxicity issues
→ *None have reached commercialization so far*
- **Peptides offer higher potency and selectivity with low toxicity**
- Options include IGNK002 pretreatment with IGTN13, IV infusion with IGNK002 treatment or targeted LNP payload delivery

Notable P38 MAPK inhibitors:

Doramapimod (Boehringer Ingelheim) and **VX-745** (Vertex) discontinued due to adverse effects

ARRY-371797 (Pfizer) for treatment of dilated cardiomyopathy due to a Lamin A/C gene mutation discontinued in Aug 2022

Losmapimod (Fulcrum/GSK) under P3 and granted FDA fast track/orphan drug status - Fulcrum obtained full rights from GSK who discontinued development

Peptides vs small molecules

- ✓ High potency
- ✓ High selectivity
- ✓ Low toxicity
- ✓ Low tissue accumulation

Only peptide P38 MAPK inhibitor under development

No observed side effects from in vivo studies to date

Careful approach in treatment applications and strategy

Milestones

IGNK001

R/R AML, high risk MDS

IGNK001 + rituximab

ALL, DLBCL

IGNK002 + anti-PD1 (pembrolizumab)

NSCLC, solid tumors

IGNK002 + anti-CTLA4 (ipilimumab)

NSCLC, solid tumors

IGTN13 (+ IGNK002)

solid tumors (liver, spleen, colorectal)

IGTN13

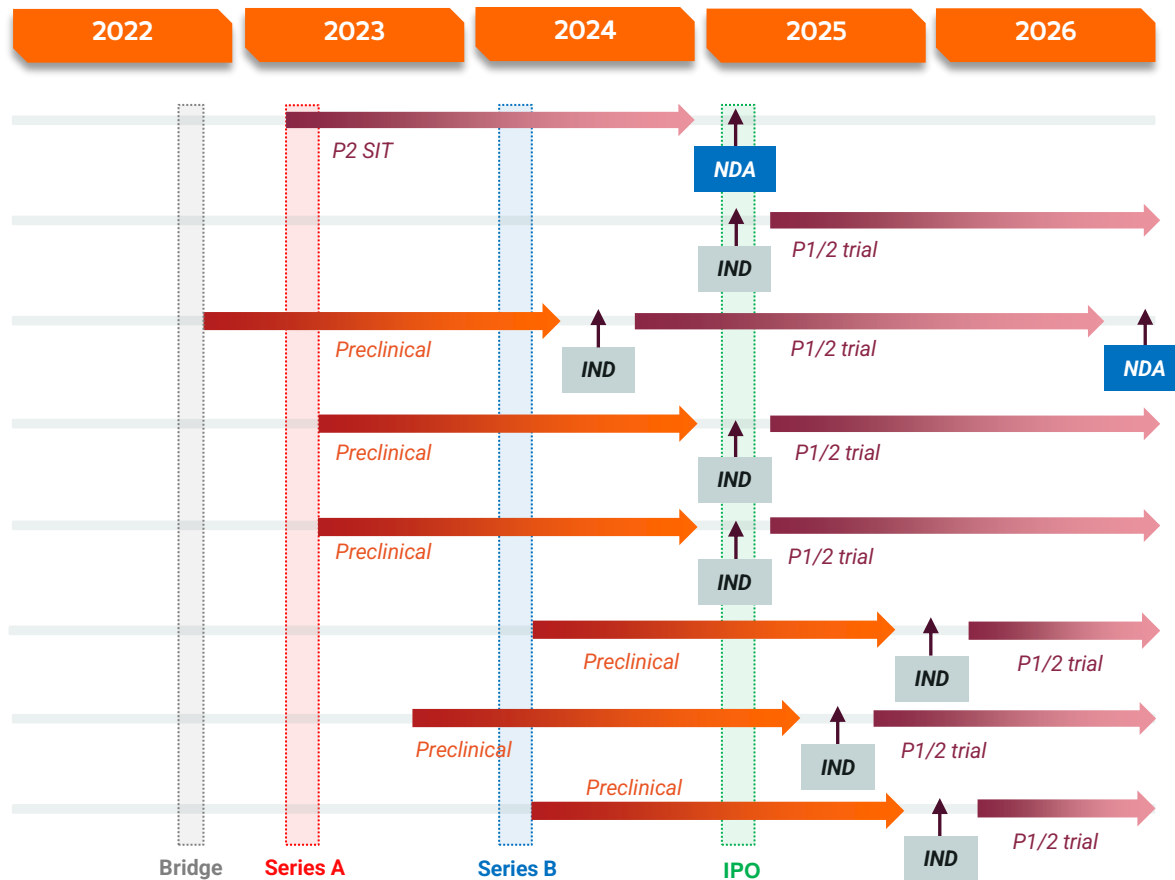
Alzheimer's, osteoporosis, arthritis

IGCAR (Ingenium CAR-NK)

solid tumors (liver, spleen, colorectal)

IGTK Engager (TriKE)

solid tumors (liver, spleen, colorectal)



Publications

Selected research by Dr. Inpyo Choi, CSO, Ingenium Therapeutics Inc.

SARS-CoV-2 peptides bind to NKG2D and increase NK cell activity *Cell Immunol.* 2022 Jan;371:104454.

TXNIP Regulates Natural Killer Cell-Mediated Innate Immunity by Inhibiting IFN- γ Production during Bacterial Infection *Int J Mol Sci.* 2020 Dec 14;21(24):9499

Toll-Like Receptors in Natural Killer Cells and Their Application for Immunotherapy *J Immunol Res.* 2020 Jan 4;2020:2045860.

Thioredoxin-interacting protein-derived peptide (TN13) inhibits LPS-induced inflammation by inhibiting p38 MAPK signaling *Biochem Biophys Res Commun.* 2018 Dec 9;507(1-4):489-495.

Suppressor of Cytokine Signaling 2 Negatively Regulates NK Cell Differentiation by Inhibiting JAK2 Activity *Sci Rep.* 2017 Apr 6;7:46153

Thioredoxin-interacting protein regulates haematopoietic stem cell ageing and rejuvenation by inhibiting p38 kinase activity *Nat Commun.* 2016 Dec 8;7:13674

Donor-Derived Natural Killer Cell Infusion after Human Leukocyte Antigen-Haploidentical Hematopoietic Cell Transplantation in Patients with Refractory Acute Leukemia *Biol Blood Marrow Transplant.* 2016 Nov;22(11):2065-2076*

MicroRNA-150 regulates the cytotoxicity of natural killers by targeting perforin-1 *J Allergy Clin Immunol.* 2014 Jul;134(1):195-203.

Donor-derived natural killer cells infused after human leukocyte antigen-haploidentical hematopoietic cell transplantation: a dose-escalation study *Biol Blood Marrow Transplant.* 2014 May;20(5):696-704*

TXNIP maintains the hematopoietic cell pool by switching the function of p53 under oxidative stress *Cell Metab.* 2013 Jul 2;18(1):75-85.

Human microRNA-27a* targets Prf1 and GzmB expression to regulate NK-cell cytotoxicity *Blood.* 2011 Nov 17;118(20):5476-86

Generation of donor natural killer cells from CD34(+) progenitor cells and subsequent infusion after HLA-mismatched allogeneic hematopoietic cell transplantation: a feasibility study *Bone Marrow Transplant.* 2010 Jun;45(6):1038-46*

Thioredoxin-interacting protein links oxidative stress to inflammasome activation *Nat Immunol.* 2010 Feb;11(2):136-40.

Suppressor of cytokine signaling 2 regulates IL-15-primed human NK cell function via control of phosphorylated Pyk2 *J Immunol.* 2010 Jul 15;185(2):917-28.

Osteopontin promotes the development of natural killer cells from hematopoietic stem cells *Stem Cells.* 2008 Aug;26(8):2114-23.

The regulation of NK cell function and development *Front Biosci.* 2008 May 1;13:6432-42.

VDUP1 is required for the development of natural killer cells *Immunity.* 2005 Feb;22(2):195-208

(* Clinical trials of NK cell therapy for AML)

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